



POLICY 962, ATTACHMENT A - SECLUSION AND RESTRAINT INDIVIDUAL REPORTING FORM

PROVIDER INFORMATION	
Report Date:	Program/Facility License #: <i>Click here to enter text.</i>
AHCCCS Provider ID: <i>Click here to enter text.</i>	Program/Facility Name: <i>Click here to enter text.</i>
Contact Person Phone #: <i>Click here to enter text.</i>	Provider Address: <i>Click here to enter text.</i>
Contact Person and Title: <i>Click here to enter text.</i>	
Name/Credentials/Title of Person Authorizing the Event: <i>Click here to enter text.</i>	
Name/Credentials/Title of Person Re-Authorizing the Event: <i>Click here to enter text.</i>	

MEMBER INFORMATION		
Member Name (Last, First, M.I.): <i>Click here to enter text.</i>		
Date of Birth:	Age:	Gender:
CIS ID: <i>Click here to enter text.</i>		AHCCCS ID: <i>Click here to enter text.</i>
TXIX/XXI Eligible: <input type="checkbox"/> Yes <input type="checkbox"/> No		Member Behavioral Health Category: <i>Click here to enter text.</i>
DDD: <i>Click here to enter text.</i>		CMDP: <i>Click here to enter text.</i>
CRS:		ALTCS E/PD: <i>Click here to enter text.</i>
Name of member's legal guardian/ health care decision maker ¹ (if applicable): <i>Click here to enter text.</i>		
Phone number of member's legal guardian/ health care decision maker (if applicable): <i>Click here to enter text.</i>		

CURRENT DIAGNOSES	
CODE	NAME

CURRENT MEDICATIONS			
MEDICATION	DOSAGE	FREQUENCY	METHOD OF ADMINISTRATION

¹ [Added to align with policy standards](#)

enter text.

Duration of Restraint: *Click here to enter text.* Hours *Click here to enter text.* minutes

Name/Credentials/Title of Primary Person involved in the Restraint: *Click here to enter text.*

MEDICATION USED AS RESTRAINT

DATE OF ADMINISTRATION	TIME OF ADMINISTRATION	MEDICATION	DOSAGE	FREQUENCY	METHOD OF ADMINISTRATION

SECLUSION

SECLUSION

Date of Administration: *Click here to enter text.*

Time (24-hour clock): *Click here to enter text.* Start time: *Click here to enter text.* End time: *Click here to enter text.*

Duration of Restraint: *Click here to enter text.* hours/ *Click here to enter text.* minutes

Name/Credentials/Title of Primary Person involved in the Restraint: *Click here to enter text.*

REASON FOR RESTRAINT AND/OR SECLUSION

REASON FOR RESTRAINT/SECLUSION

Include relevant information to describe facts/behaviors justifying the use of seclusion or restraint. Be descriptive (*i.e., e.g.* ‘hitting and kicking staff’ instead of ‘physically aggressive toward staff’).

Danger to Self (DTS)

Member Behaviors: *Click here to enter text.*

Member Quotes: *Click here to enter text.*

Danger to Others (DTO)

Member Behaviors: *Click here to enter text.*

Member Quotes: *Click here to enter text.*

MONITORING

MONITORING

The member must be personally examined at a minimum of every 15 minutes to ensure the behavioral health member's comfort and safety and ~~determining to determine~~ the ~~client's~~ member's need for food, fluid, bathing, and access to the toilet. ~~The member must be checked every five minutes if~~ the member has any medical condition that ~~may be adversely affected by the restraint or seclusion, the member shall be monitored every five minutes~~ places him/her at a greater risk, until the medical condition resolves, if applicable, as determined by the facility, by the restraint and/or seclusion. Attach internal documentation of face-to-face monitoring for all episodes that require such documentation per A.A.C.R9-21-204, A.A.C.R9-10-225, or A.A.C.R9-10-226. Addendum content must include requirements contained in ~~AHCCCS-AMPM Policy Exhibit 960-3962~~, Seclusion and Restraint Requirements.

	Date	Time (24-hour clock)	Name of Primary Person Individual involved in the Restraint	Credentials/Title of Primary Person involved in the Restraint
Start	<i>Click here to enter text.</i>	<i>Click here to enter text.</i>	<i>Click here to enter text.</i>	<i>Click here to enter text.</i>
End	<i>Click here to enter text.</i>	<i>Click here to enter text.</i>	<i>Click here to enter text.</i>	<i>Click here to enter text.</i>

FACE-TO-FACE ASSESSMENT

The member must receive a face-to-face assessment of physical and psychological well-being from the Psychiatrist or Registered Nurse (with one year of behavioral health experience) within one (1) hour of initiation of the restraint or seclusion.

Name/Credentials/Title of Primary Person involved in the Restraint: *Click here to enter text.*

Date of Assessment: *Click here to enter text.*

Time (24-hour clock) of Assessment: *Click here to enter text.*

Description of Member Condition: (orientation, mood, affect, behavior per R9-21-204 (physical and psychological wellbeing)):

~~CLINICAL JUSTIFICATION TO CONTINUE RESTRAINT OR SECLUSION²~~

- ~~Continues at risk for danger to self~~
 - ~~Continues at risk for danger to others~~
 - ~~No improvement of mental status~~
 - ~~Unable to follow verbal commands~~
 - ~~Medication administration not completed~~
- Click here to enter text.*

² Removed due to duplicate reporting requirement that is also documented on the monitoring form and/or written order.

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CLINICAL JUSTIFICATION TO DISCONTINUE SECLUSION OR RESTRAINT ~~OR SECLUSION~~

- No risk for danger to self
- No risk for danger to others
- Improvement of mental status
- Medication administration completed
- Able to follow verbal commands
- Meets all criteria for release

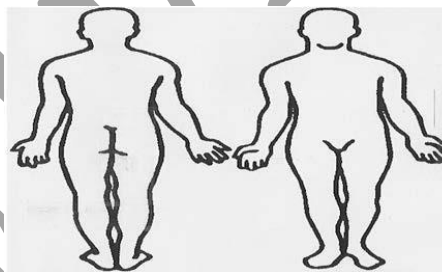
INJURIES

INJURIES

Was the member physically injured DURING (not prior to) the seclusion and/or restraint ~~and/or seclusion~~?

- Yes No

If yes, explain the nature of the injury and complete an Incident, Accident, and Death (IAD) R report:



Explain the level of medical intervention needed (e.g. first aid, physician, hospitalization, death): *Click here to enter text.*

~~(e.g. first aid, physician, hospitalization, death)~~

THIS SECTION MUST BE COMPLETED IF A MEMBER WAS INJURED DURING A SECLUSION AND/OR ~~RESTRAINT~~ PROCEDURE.

INCIDENT, ACCIDENT, AND DEATH (IF APPLICABLE)

(The Contractor, TRBHA, ~~or~~ Tribal ALTCS, must ensure timely and accurate reporting of incidents, accidents, and deaths involving members to AHCCCS-Clinical Quality Management.

Date of Incident, Accident, and Death Report completed:

Name/Credentials/Title of All ~~Persons~~ Individuals involved in the Seclusion/Restraint procedure:

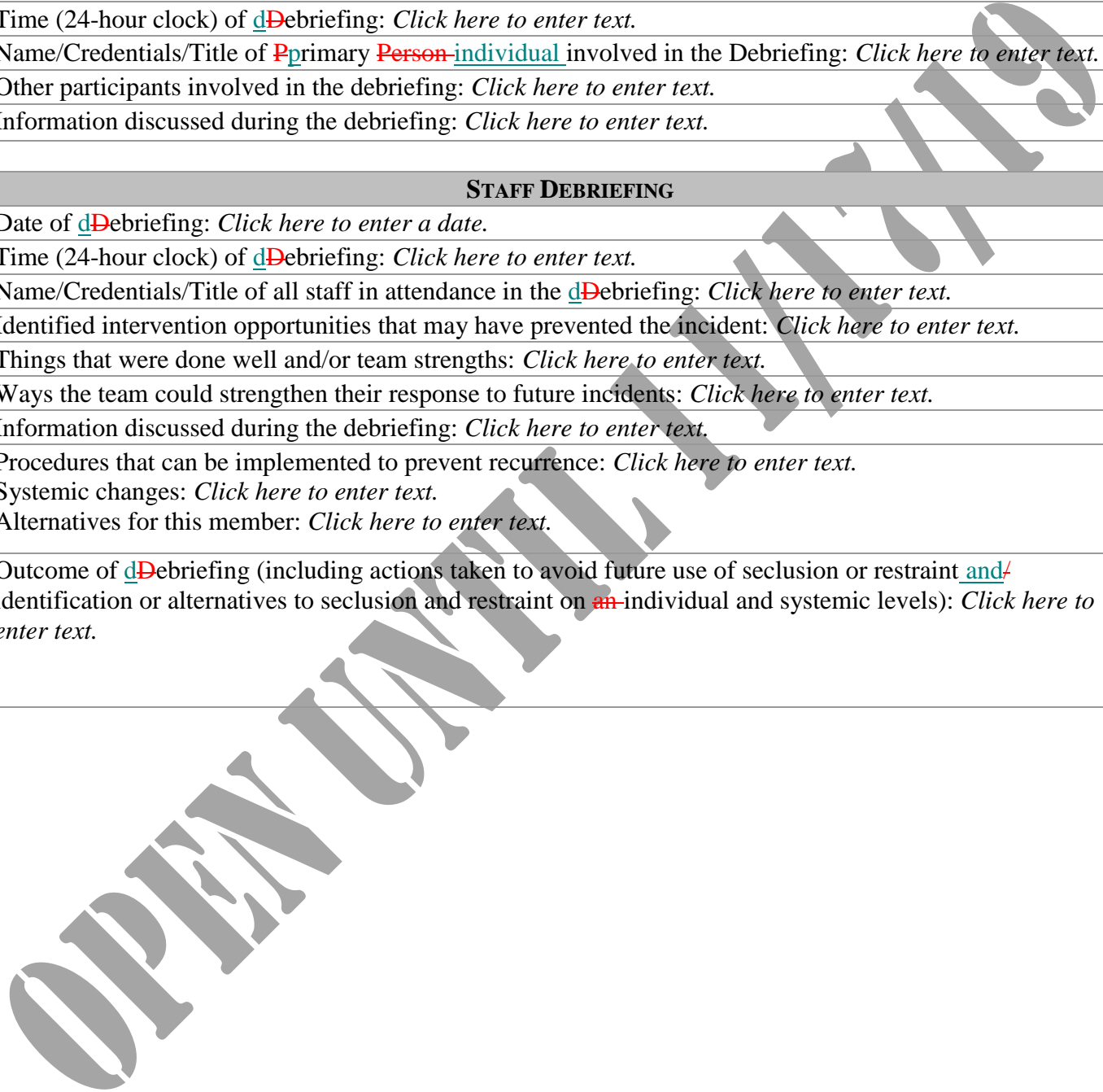
DEBRIEFING

MEMBER DEBRIEFING

Date of d Debriefing: <i>Click here to enter a date.</i>
Time (24-hour clock) of d Debriefing: <i>Click here to enter text.</i>
Name/Credentials/Title of P primary P erson -individual involved in the Debriefing: <i>Click here to enter text.</i>
Other participants involved in the debriefing: <i>Click here to enter text.</i>
Information discussed during the debriefing: <i>Click here to enter text.</i>

STAFF DEBRIEFING

Date of d Debriefing: <i>Click here to enter a date.</i>
Time (24-hour clock) of d Debriefing: <i>Click here to enter text.</i>
Name/Credentials/Title of all staff in attendance in the d Debriefing: <i>Click here to enter text.</i>
Identified intervention opportunities that may have prevented the incident: <i>Click here to enter text.</i>
Things that were done well and/or team strengths: <i>Click here to enter text.</i>
Ways the team could strengthen their response to future incidents: <i>Click here to enter text.</i>
Information discussed during the debriefing: <i>Click here to enter text.</i>
Procedures that can be implemented to prevent recurrence: <i>Click here to enter text.</i>
Systemic changes: <i>Click here to enter text.</i>
Alternatives for this member: <i>Click here to enter text.</i>
Outcome of d Debriefing (including actions taken to avoid future use of seclusion or restraint and identification or alternatives to seclusion and restraint on an -individual and systemic levels): <i>Click here to enter text.</i>



FOLLOW-UP

FOLLOW-UP

Was the treating provider notified?	<input type="checkbox"/> Yes, Name of provider: <input type="checkbox"/> No (If no, explain):	Date of Notification: <i>Click here to enter text.</i>
Was the family/guardian/health care decision maker notified?	<input type="checkbox"/> Yes, Name and relationship of the person notified: <input type="checkbox"/> No (If no, explain):	Date of Notification: <i>Click here to enter text.</i>
Were the findings of face-to-face monitoring and nursing assessment discussed?	<input type="checkbox"/> Yes, with whom: <input type="checkbox"/> No (If no, explain):	Date of Discussion: <i>Click here to enter text.</i>
Was the need for other interventions or treatments reviewed?	<input type="checkbox"/> Yes, with whom: <input type="checkbox"/> No (If no, explain):	Date of Review: <i>Click here to enter text.</i>
Were revisions made to the treatment plan or scheduled?	<input type="checkbox"/> Yes, Describe revisions: <input type="checkbox"/> No (If no, explain):	Date of Revisions:
Were Seclusion and Restraint orders completed? Check all boxes that apply and attach orders when submitting Seclusion and Restraint form.	<input type="checkbox"/> Initial Order <input type="checkbox"/> Continuation Order <input type="checkbox"/> Discontinuation Order	
Were monitoring sheets completed (every 15 minutes or every 5 minutes)? Attach monitoring sheets when submitting Seclusion and Restraint form.	<input type="checkbox"/> Yes, Date(s) of Completion: <input type="checkbox"/> No (If no, explain):	
Were the findings of the assessment discussed?³	<input type="checkbox"/> Yes, Date(s) of Completion: <input type="checkbox"/> No (If no, explain):	

FINAL SIGN-OFF

Name of Director of Nursing or Designee reviewing Seclusion and Restraint Documentation: <i>Click here to enter text.</i>
Director of Nursing or Designee Phone Number: <i>Click here to enter text.</i>
Date of Sign-off: <i>Click here to enter text.</i>
Time (24-hour clock) of Sign-off: <i>Click here to enter text.</i>

³ ~~Removed- Duplicated above by combining monitoring review with assessment review.~~